

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Danping CHEN et al.

Application No.: 10/536,695

Filed: May 27, 2005

Confirmation No.: 2263

Group Art Unit: 1731

Examiner: Not Yet Assigned

Attorney Docket No.: 8156/84334

Customer No.: 42798

#### SUBMISSION OF INTERNATIONAL PRELIMIANRY EXAMINATION REPORT

Commissioner for Patents Customer Service Window Randolph Building 401 Dulany Street Alexandria, VA 22314

Sir:

Applicants submit herewith a copy of the English translation of the International Preliminary Examination Report issued for the basic PCT application (PCT/JP2003/015208) of the above-referenced application.

Respectfully submitted,

FITCH, EVEN, TABIN & FLANNERY

Date: April 20, 2006

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## PATENT COOPERATION TREATY



# PCT

Translation

INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY (Chapter II of the Patent Cooperation Treaty)

(PCT Article 36 and Rule 70)

|  | (ICI AIUC                                    | e 30 and Rule 70)                                  |  |  |  |
|--|--|--|--|--|--|
| Applicant's or agent's file reference P03-135  | FOR FURTHER A                                | ACTION   | See Form PCT/IPEA/416  |  |  |
| International application No. PCT/JP2003/015208  |  | ate (day/month/year)<br>003 (28.11.2003)           | Priority date (day/month/year) 29 November 2002 (29.11.2002)   |  |  |
| International Patent Classification (IPC) or na C03C 4/12, 3/068, 15/00, 21/00, 0  | tional classification a                      | nd IDC   | 27 1.000.000 2002 (27.11.2002)   |  |  |
| Applicant JAPAN  | SCIENCE AND 1                                | ECHNOLOGY AC                                       | GENCY  |  |  |
| This report is the international prelim     Authority under Article 35 and transn  | inary examination repairted to the applicant | port, established by this according to Article 36  | International Preliminary Examining  |  |  |
| <ol> <li>This REPORT consists of a total of</li> <li>This report is also accompanied by Al</li> </ol>  | 3 sheets                                     | , including this cover st                          | ecet.  |  |  |
| a. (sent to the applicant and to   |  |  | sheets, as follows:  |  |  |
| sheets of the description and/or sheets contain Administrative Instruction   | mme iccuncations an                          | rawings which have be<br>thorized by this Author   | en amended and are the basis of this report<br>ity (see Rule 70.16 and Section 607 of the                                      |  |  |
| sheets which supers  | ede earlier sheets. hu                       | t which this Authority a lapplication as filed, as | considers contain an amendment that goes indicated in item 4 of Box No. I and the  |  |  |
|  | cated in the Supplem                         |  | and number of electronic carrier(s))<br>and/or tables related thereto, in computer<br>Sequence Listing (see Section 802 of the |  |  |
| 4. This report contains indications relating   | g to the following iter                      | ns:  |  |  |  |
| Box No. I Basis of the repo  | πt   |  |  |  |  |
| Box No. II Priority  |  |  |  |  |  |
| Box No. III Non-establishment of opinion with regard to novelty, inventive step and industrial applicability   |  |  |  |  |  |
| Box No. IV Lack of unity of invention  |  |  |  |  |  |
| Box No. V  Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability;  citations and explanations supporting such statement  Box No. VI  Certain documents cited |  |  |  |  |  |
| Box No. VII Certain defects in the international application   |  |  |  |  |  |
| Box No. VIII Certain observations on the international application   |  |  |  |  |  |
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| ate of submission of the demand  |  | Date of completion of t                            | his report   |  |  |
| 07 May 2004 (07.05.2004)   |  | 04 Oct   | ober 2004 (04.10.2004)   |  |  |
| ame and mailing address of the IPEA/JP   |  | Authorized officer                                 |  |  |  |
| acsimile No.   | 1.   | relephone No                                       |  |  |  |

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## INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

International application No.

| Box No. I Basis of                       | the report  | EFORT ON PATENTABILITY  | PCT/JP2003/015208   |
|--|---|---|---|
|  |   | •   |   |
| otherwise indicated i                    | inder this item.  | ed on the international application in the l                      | anguage in which it was filed, unless   |
|  |   | om the original language into the follow shed for the purpose of: | ing language  |
| Internation                              | onal search (under Rules I  | 12.3 and 23.1(b))   |   |
| publicati                                | on of the international app   | plication (under Rule 12.4)                                       |   |
| internation                              | onal preliminary examinat   | ion (under Rules 55.2 and/or 55.3)                                |   |
|  | lements of the internation of the internation of the internation of this report):  If application as originally | - 1 - 90.1  | (replacement sheets which have been<br>ed to in this report as "originally filed" |
| pages                                    |   | 1-24  | •   |
| pages*                                   |   | received by this Authority on                                     | , as originally filed/furnishe  |
| pages*                                   |   | received by this Authority on                                     |   |
| the claims:                              |   |   |   |
| pages                                    |   |   |   |
| pages*                                   |   | 00 0man d 2 4 4   | , as originally filed/furnished   |
| pages*                                   | 3, 5, 7, 10, 14-26  | received by this Authority on                                     | ether with any statement) under Article 1   |
| pages*                                   |   | received by this Authority on                                     | 02 September 2004 (02.09.2004)  |
| the drawings:                            | -   |   |   |
| pages                                    |   | 1-12  |   |
| pages*                                   |   | received by this Authority on                                     | , as originally filed/furnished   |
| pages*                                   |   | received by this Authority on                                     |   |
| a sequence listing                       | and/or any release to the   | Of any Audiority on   |   |
|  | or any related table(s)   | - see Supplemental Box Relating to Seq                            | uence Listing.  |
| ==1                                      |   |   |   |
|  | ave resulted in the cancell   | lation of:  |   |
| the description                          |   |   |   |
| the claims, N                            | os1, 2  | 2, 4, 6, 8, 9, 11-13  |   |
| the drawings,                            | sheets/figs   |   |   |
| the sequence                             | listing (specify):  |   |   |
| any table(s) re                          | lated to sequence listing   | (specify):  | •   |
|  | boquence nsting   | specify):   |   |
| This report has been made, since they ha | established as if (some over been considered to g   | of) the amendments annexed to this repo                           | ort and listed below had not been   |
| the description                          | . pages   | , <b>30</b> m   | m me aubhicineutai Box  |
| the claims. No                           |   |   |   |
| the drawings e                           | heets/fins  |   |   |
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### ENTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

International application No. PCT/JP03/15208

| Reasoned statement citations and explana | under Article 35(2) with regard to novelty, inventive step or industrial applicability; ations supporting such statement |   |  |  |
|--|--|---|--|--|
|  |  |   |  |  |
| y (N)                                    | Claims   | 3, 5, 7, 10, 14-26  | YES  |  |
|  | Claims   |   | NO NO  |  |
| Inventive step (IS)                      | Claims   | 3, 5, 7, 10, 14-26  | YES  |  |
|  | Claims   |   | NO   |  |
| Industrial applicability (IA)            | Claims   | 3, 5, 7, 10, 14-26  | YES  |  |
|  | Claims   | ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,                                     | NO NO  |  |
|  | y (N) ve step (IS)   | y (N)  Claims  Claims  ve step (IS)  Claims  Claims  Claims  Claims  Claims | (N) Claims 3, 5, 7, 10, 14-26  Claims  ve step (IS) Claims 3, 5, 7, 10, 14-26  Claims  Claims 3, 5, 7, 10, 14-26  Claims  ial applicability (IA) Claims 3, 5, 7, 10, 14-26 |  |

2. Citations and explanations (Rule 70.7)

Claims 3, 5, 7, 10, 14-26

None of the documents cited in the ISR describes the inventions relating to claims 3, 5, 7, 10, 14-26, nor are these obvious to a person skilled in the art.